PATE...

Our Docket: P-NI 4577

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PLADE PART Application of:

Greenspan and Edelman

Serial No.:09/768,020

Filed: January 23, 2001

For: METHOD FOR FUNCTIONAL

MAPPING OF AN ALZHEIMER'S DISEASE GENE NETWORK AND FOR) IDENTIFYING THERAPEUTIC AGENTS FOR THE TREATMENT OF

ALZHEIMER'S DISEASE

COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231 Attention: BOX MISSING PARTS

Examiner: Unassigned

Group Art Unit: 1645

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 1, 2001.

By Andrea L. Gashler, Reg. No. 41,029

May 1, 2001 Date of Signature

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed March 29, 2001, enclosed are:

- <u>X</u> 1. A copy of the Notice to File Missing Parts.
- <u>X</u> 2. An executed Declaration for Patent Application in the above-identified U.S. Patent Application.
- _X_ 3. A Recordation Form Cover Sheet and an executed Assignment for the above-identified U.S. Patent Application.
- An executed Verified Statement (Declaration) Claiming Small Entity Status. 4.
- An executed Power of Attorney for Patent Application by 5. <u>X</u> Assignee.
- <u>X</u> 6. An executed Statement Under CFR 3.73(b).

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE		
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	37-20		17	×	\$9	\$18		\$	\$306
INDEPEN- DENT CLAIMS	6-3	-	3	×	\$40	\$80	=	\$	\$240
MULTIPLE DEPENDENT CLAIMS PRESENTED: YES X NO				\$135	\$270	=	\$	\$0	
					BASIC FEE			\$355	\$710
					TOTAL FEE				\$1,256

- <u>X</u> A check in the amount of \$1,426.00 to cover the filing fee of \$1,256.00, the surcharge fee of \$130.00, and \$40.00 for recording an Assignment for the application is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
 - $\frac{X}{X}$ Any additional filing fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 C.F.R. 1.17.

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- <u>X</u> 5. An executed Power of Attorney for Patent Application by Assignee.
- X 6. An executed Statement Under CFR 3.73(b).

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Inventors: Greens n and Edelman Serial No.: 09/768,020

Serial No.: 09/768,020 Filed: January 23, 2001

Page 2

X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

By: Queux. Hasule

Andrea L. Gashler Reg. No. 41,029

CAMPBELL & FLORES LLP 4370 La Jolla Village Drive 7th Floor

San Diego, California 92122 Telephone: (858) 535-9001 Facsimile: (858) 535-8949 USPTO CUSTOMER NO. 23601



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 2023

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/768,020

01/23/2001

Ralph J. Greenspan

P-NI 4577

23601 CAMPBELL & FLORES LLP 4370 LA JOLLA VILLAGE DRIVE 7TH FLOOR SAN DIEGO, CA 92122 CONFIRMATION NO. 9299
FORMALITIES LETTER
OC000000005914556

Date Mailed: 03/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$546.
 - \$306 for 17 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1386.

A copy of this notice MUST be returned with the reply.

710.00

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

22 FC:101 22 FC:105 23 FC:103